Nordic FX

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LEGAL DOCUMENT

AML / KYC Policy Summary

Anti-Money Laundering and Know Your Customer Policy

VERSION	EFFECTIVE DATE	ISSUED BY
v1.2	01 January 2024	Nordic FX

This document is issued by Nordic FX and is subject to periodic review.

1. Policy Statement

Nordic FX is committed to preventing money laundering, terrorist financing and other financial crimes. We maintain a comprehensive AML/KYC programme in compliance with applicable laws and regulations.

2. Customer Due Diligence (CDD)

We apply the following CDD measures to all clients: (a) Identity verification (name, date of birth, address, nationality); (b) Verification of identity documents; (c) Enhanced Due Diligence (EDD) for higher-risk clients, Politically Exposed Persons (PEPs), and high-risk jurisdictions; (d) Ongoing monitoring of client transactions.

3. Source of Funds

We require clients to declare and document the source of their funds. We may request supporting documentation at account opening and throughout the relationship.

4. Suspicious Activity Reporting

Where we identify suspicious activity or have reasonable grounds to suspect money laundering or terrorist financing, we are obliged to file a Suspicious Activity Report (SAR) with the relevant authorities.

5. Record Keeping

We maintain records of all CDD documentation and transaction data for a minimum of 5 years in accordance with applicable regulations.

6. Training

All relevant staff receive regular AML/KYC training to ensure awareness of obligations and typologies.

