Nordic FX

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LEGAL DOCUMENT

Conflicts of Interest Policy

Identification and Management of Conflicts

VERSION	EFFECTIVE DATE	ISSUED BY
v1.1	01 January 2024	Nordic FX

This document is issued by Nordic FX and is subject to periodic review.

1. Introduction

Nordic FX has established this Conflicts of Interest Policy to identify, prevent and manage potential conflicts of interest that may arise in the course of providing services to clients.

2. Types of Conflicts

Conflicts may arise between: (a) The Company and its clients; (b) Different clients; (c) The Company's employees and clients. Examples include: the Company trading for its own account while also managing client orders; situations where employees hold personal investments in instruments offered to clients.

3. Management Measures

We manage conflicts through: (a) Information barriers (Chinese Walls); (b) Prohibition on personal account dealing without prior approval; (c) Remuneration policies that do not incentivise conflict; (d) Disclosure to clients where conflicts cannot be adequately managed.

4. Disclosure

Where our management measures are not sufficient to prevent harm to a client's interests, we will clearly disclose the conflict before providing services.

5. Review

This policy is reviewed at least annually by the Compliance function.